



4T Global Markets Financial Services LLC

CMA Licence No. 20200000237 | Category 5 — Introduction

INDIVIDUAL CLIENT KYC FORM

Know Your Customer — New Client Application

Client Reference:

Date:

A. Personal Information

Full Legal Name (as per ID):

Date of Birth:

Place of Birth:

Nationality:

Country of Residence:

Emirates ID Number:

Passport Number:

Passport Issue Date:

Passport Expiry Date:

UAE Residence Permit No. (if applicable):

Permit Issue Date:

Permit Expiry Date:

B. Contact Information



**Residential Address
(Line 1):**

**Residential Address
(Line 2):**

City:

Country:

**Mobile
Number:**

**Email
Address:**

C. Employment & Occupation

Employment Status: ☐ Employed ☐ Self-Employed ☐ Business Owner ☐ Retired ☐ Other

**Employer / Business
Name:**

Job Title / Occupation:

Business Address:

**Annual Income
(approx.):**

Currency:

D. Source of Funds & Source of Wealth

Primary Source of Funds: ☐ Salary / Employment Income ☐ Business Income ☐ Investments ☐ Inheritance
☐ Property Sale ☐ Other

Please provide details:

Primary Source of Wealth: ☐ Salary Savings ☐ Business Ownership ☐ Investments / Trading ☐ Inheritance
/ Gift ☐ Property ☐ Other



Please describe the origin of your wealth:

E. Purpose of Introduction Relationship

Purpose: ☐ Forex / CFD Trading ☐ Commodities Trading ☐ Investment Portfolio ☐ Other

Name of Carrying Broker
to be Introduced to:

Expected
Trading
Volume
(approx.):

Currency:

F. Politically Exposed Person (PEP) Declaration

Are you, or have you ever been, a Politically Exposed Person (PEP)? ☐ Yes ☐ No

Is any immediate family member or close associate a PEP? ☐ Yes ☐ No

If Yes to either question, please provide details below:

PEP details (role, country, dates):

G. Sanctions & Adverse History

Are you, or have you ever been, subject to any sanctions or regulatory enforcement action? ☐ Yes ☐ No

Have you ever been convicted of a financial crime, fraud, or money laundering? ☐ Yes ☐ No

If Yes to either question, please provide full details:



Details:

H. Documents Submitted

Document	Submitted	Expiry Date
Emirates ID	☐ Yes ☐ No	
Passport	☐ Yes ☐ No	
UAE Residence Permit (if applicable)	☐ Yes ☐ No	
Proof of Address (max 3 months old)	☐ Yes ☐ No	
Bank Statements (last 3 months)	☐ Yes ☐ No	
Source of Funds documentation	☐ Yes ☐ No	
Employment / Income evidence	☐ Yes ☐ No	

I. Client Declaration

I declare that the information provided in this form is true, accurate, and complete to the best of my knowledge. I understand that 4T Global Markets Financial Services LLC is required by law to verify my identity and assess my risk profile in accordance with UAE AML/CFT/CPF regulations. I consent to 4T conducting screening checks against sanctions, PEP, and adverse media lists. I undertake to notify 4T of any material changes to the information provided. I confirm I have read and understood the terms of the Introduction service.

Client Signature: _____ **Date:** _____

Print Name: _____

J. For Compliance Use Only — Do Not Complete

Compliance Officer: _____ **Date Reviewed:** _____



**WorldCheck Screening
Date:**

Result:

Risk Rating: ☐ Low ☐ Medium ☐ High ☐ Very High

MLRO Approval: ☐ Approved ☐ Rejected ☐ Pending EDD

**MLRO
Signature:**

Date:

Compliance Notes:

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Send email to: support@4tglobal.ae